

**NOTICE OF PENALTIES FOR LATE FILING: THE FAILURE OF ANY OFFICIAL OR EMPLOYEE TO FILE BY FEBRUARY 15, 2005, WITHOUT REASONABLE CAUSE SHALL RENDER THAT PERSON DELINQUENT AND RESULT IN AN ADMINISTRATIVE SANCTION OF \$50.00 A DAY FOR EACH BUSINESS DAY AFTER FEBRUARY 15, UP TO A MAXIMUM PENALTY OF \$500.00. § 2-814(e).**



**CITY OF ATLANTA BOARD OF ETHICS  
2005 FINANCIAL DISCLOSURE STATEMENT**

*To be completed by city officials and employees who are currently serving or served in 2004  
Covering reporting period from January 1, 2004 to February 15, 2005  
You are strongly encouraged to file this form online at <https://apps.atlantaga.gov/efile>*

**PART ONE: IDENTIFYING INFORMATION**

*Read all instructions carefully and complete each part. Refer to the attached instructions for definitions, examples, and additional explanations.*

(1) Name. \_\_\_\_\_

First

Middle Initial

Last

*The information sought in questions 2-5 is for administrative purposes only and will not be available online.*

(2) Home Address. \_\_\_\_\_

Street

Apt.

\_\_\_\_\_

City

State

Zip Code

(3) Mailing Address (if different). \_\_\_\_\_

(4) Telephone Number. \_\_\_\_\_

Day-time

Other

(5) E-mail Address. \_\_\_\_\_

**PART TWO: REASONS FOR FILING**

(6) Filing Category. Check the box or boxes that apply to you.

- ☐ City elected official (Go to Question 7)  
☐ City employee (Go to Question 8)  
☐ Board member or hearing officer (Go to Question 9)  
☐ Neighborhood planning unit (NPU) officer (Go to Question 10)

(7) a. City Elected Officials. What is or was your position as a city elected official?

- ☐ Mayor  
☐ Council President  
☐ City Council Member  
☐ Municipal or Traffic Court Judge

b. Are you currently serving as an elected city official?

☐ Yes (Go to Question 9) ☐ No (Answer Question 7c)

c. When was your last day as an elected city official? \_\_\_\_\_

Month

Year

(8) a. City Employees. What is or was your position with the City?

☐ Chief or Deputy Chief Operating Officer; Chief or Deputy Chief of Staff

☐ Commissioner, Department head, or its equivalent

☐ Deputy Commissioner, Department head, or its equivalent

☐ Employee in the Mayor's office who reports directly to the Mayor

☐ Other city employee

b. City Job Title \_\_\_\_\_

c. City Department or Agency \_\_\_\_\_

d. Are you currently employed with the City? ☐ Yes (Go to Question 9) ☐ No (Answer 8e)

e. When was your last day on the city's payroll? \_\_\_\_\_

Month

Year

(9) a. Board Members & Hearing Officers. Are you a member of a city board, appointed by the Mayor or Council to another public board, or a city hearing officer, or were you one in 2004?

☐ Yes (Answer Questions 9b-d) ☐ No (Go to Question 10)

b. Name of board or entity. \_\_\_\_\_

*List additional boards on the back of page 5 or a separate page. Do not abbreviate.*

c. Are you currently serving in this position? ☐ Yes (Go to Question 10) ☐ No (Answer 9d)

d. When was your last day of service? \_\_\_\_\_

Month

Year

(10) NPU Officer. Are you an NPU chair or vice chair, or were you one in 2004?

☐ Yes (Answer Questions 10b-d) ☐ No (Go to Question 11)

b. Which NPU? \_\_\_\_\_

c. Which office do you hold in 2005? ☐ Chair, President, or Presiding Officer ☐ Vice Chair

d. Which office did you hold in 2004? ☐ Chair, President, or Presiding Officer ☐ Vice Chair

### **PART THREE: SOURCES OF INCOME**

(11) a. Sources of Employment Income. Since January 1, 2004, have you received any income for employment or earned any compensation from any business entity, including the City of Atlanta, for work performed or services rendered?

☐ Yes (Answer Questions 11b-c) ☐ No (Go to Question 12)

b. List the full name of every employer or business entity from which you received income or compensation for services you performed. *List here any self-employment, proprietorship, corporation, partnership, professional firm, or other organization providing remuneration for your time, effort, or services. Do not list any individual client or customer.*

☐ City of Atlanta ☐ Other (do not abbreviate or use initials) \_\_\_\_\_

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*List additional entities on the back of page 5 or a separate page.*

c. Describe the type of business or services offered by each entity. *See instructions for examples.*

☐ Government ☐ Other \_\_\_\_\_

(12) a. Other Sources of Business Income. Since January 1, 2004, have you received more than \$5,000 in annual non-employment income from any business not listed above in Question 11?

☐ Yes (Answer 12b-c) ☐ No (Go to Question 13)

b. List the full name of every business or company from whom you received business income of more than \$5,000, but performed no services. *Do not abbreviate the name or use initials. Do not list here any individual client or customer.*

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*List additional businesses on the back of page 5 or a separate page.*

c. Describe the type of business in which each organization is engaged. *See instructions.*

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#### **PART FOUR: BUSINESS WITH THE CITY**

(13) a. Doing Business with the City. Has any person, employer, or entity listed as a source of income in Question 11 or 12 engaged in business with the City of Atlanta since January 1, 2004?

☐ Yes (Answer Questions 13b-g) ☐ No (Go to Question 14)

b. What is the full name of the entity? \_\_\_\_\_

*List additional businesses on the back of page 5 or a separate page. Do not abbreviate or use initials.*

c. What is its business address? \_\_\_\_\_

d. What is the nature of its business or contract with the City? \_\_\_\_\_

e. With what city agency is the business? \_\_\_\_\_

f. How was the business awarded? ☐ Competitive bid ☐ Request for proposal

☐ Other (explain) \_\_\_\_\_

g. Were you personally involved in acquiring or doing the work for the City? ☐ Yes ☐ No

**PART FIVE: FAMILY MEMBERS' TRANSACTIONS WITH THE CITY**

(14) a. Family Employment with the City. Since January 1, 2004, has your spouse, registered domestic partner, or dependent child been employed by the City of Atlanta?

☐ Yes (Answer Questions 14b-c) ☐ No (Go to Question 15)

b. What is the family member's name? \_\_\_\_\_

c. In what city department or agency does he or she work? \_\_\_\_\_

(15) a. Family Doing Business with the City. Since January 1, 2004, has your spouse, registered domestic partner, or dependent child derived any benefit from any business transaction with the City directly or through a business in which they have a majority or controlling interest?

☐ Yes (Answer Questions 15b-e) ☐ No (Go to Question 16)

b. What is the family member's or business's name? \_\_\_\_\_

c. What is the nature of the business transaction with the City? ☐ Contract

☐ Other (explain) \_\_\_\_\_

d. With what city agency is the business? \_\_\_\_\_

e. How was the business awarded? ☐ Competitive bid ☐ Request for proposal

☐ Other (explain) \_\_\_\_\_

**PART SIX: DIRECT OWNERSHIP INTERESTS IN REAL PROPERTY**

(16) a. Real Property Within the City Limits. Do you hold any direct ownership interests in real property in the City of Atlanta?

☐ Yes (Answer 16b) ☐ No (Go to Question 17)

b. Where is the property located? \_\_\_\_\_

Street Name

Zip Code

*If you own additional property within the city, list other parcels on the back of page 5 or a separate page.*

(17) a. Real Property Outside the City Limits. Do you hold any direct ownership interests in real property located outside the City of Atlanta?

☐ Yes (Answer 17b) ☐ No (Go to Note One)

b. Where is that property located? \_\_\_\_\_

County

State

*If you own additional property, list other locations on the back of page 5 or a separate page.*

**NOTE ONE: If you are a board member, hearing officer, NPU officer, or "other employee" in a position below a deputy commissioner or deputy department head, you are finished answering questions. Go to Part Eight, sign and date the form, and follow the filing instructions. If you are a city elected official or a "certain designated filer," go to Part Seven.**

**PART SEVEN: ADDITIONAL QUESTIONS FOR CERTAIN DESIGNATED FILERS**

(18) Stocks, Bonds, Blind Trusts, and Debt Holdings. *To be completed by city elected officials, chief or deputy chief operating officers; chief or deputy chiefs of staff; commissioners, department heads, or their equivalents; deputy commissioners, deputy department heads, or their equivalents; and employees in the Mayor's office who report directly to the Mayor.*

a. During 2004, did you hold more than \$10,000 in any stock, bond, blind trust, debenture, or other form of debt obligation of any corporation or business entity? *Do not list any mutual funds; checking, savings, or time deposit accounts; retirement accounts held by any financial institution of the U.S. government; or any city deferred compensation or pension program.*

☐ Yes (Answer Question 18b) ☐ No (Go to Question 19)

b. What is the name of the stock, bond, blind trust, debenture, or other debt obligation?

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*List additional stocks and other holdings on the back or a separate page.*

(19) a. Identify of Individual Clients. *To be completed by the Council President and Council members. Other filers go to Part Eight.* For each business listed as a source of non-employment income in Question 12, does it have a client, customer, or patron that does or seeks business with the City, does or seeks official action from the City, or is a "prohibited source" for another reason?

☐ Yes (Answer Question 19b) ☐ No (Go to Part Eight)

b. What is the name and address of every client, customer, or patron that is a prohibited source?

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*List additional clients on the back or a separate page.*

**PART EIGHT: SIGNATURE.** *You must sign your statement to complete it.*

**I declare under penalty of perjury that I have reviewed this 2005 Financial Disclosure Statement and to the best of my knowledge it is a true, accurate, and complete statement of my current financial interests. I understand that intentionally filing a statement that contains false or misleading information can result in sanctions or other penalties.**

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Signature

Date

Filing Instructions. The deadline for filing the 2005 Financial Disclosure Statement is Tuesday, February 15, 2005. A penalty of \$50 per business day will be assessed on persons who file after the deadline. You may file this form by (1) delivering the information via the online form at <https://apps.atlantaga.gov/efile> by the end of the day (11:59 p.m.); (2) hand delivering the original for filing at the Municipal Clerk's office by the end of the business day (5 p.m.); or (3) depositing the form in the United States mail, with adequate postage, and postmarked by February 15 to:

City of Atlanta Municipal Clerk  
55 Trinity Avenue, Suite 2700  
Atlanta, Georgia 30303

Write to [ethicsofficer@atlantaga.gov](mailto:ethicsofficer@atlantaga.gov) or call the Ethics Office at (404) 330-6286 if you have questions.